

A TRAUMA AND PTSD PRIMER:
CAUSES, CONSEQUENCES, AND INTERVENTIONS

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August 2, 2022

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DISCLOSURE STATEMENT

- I do not have any relevant financial relationships with commercial interests

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OBJECTIVES

- Review the prevalence rates of trauma and PTSD among incarcerated people
- Summarize best practices for treatment of PTSD and how they can be implemented in correctional settings
- Discuss strategies to support evidence-based PTSD treatment uptake in corrections

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SPEAKER

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- Research
 - What behavioral health interventions for trauma survivors work in legal settings such as prisons and jails?
 - How do you get things done there?

HEALS LAB
HEALTH AND THE LEGAL SYSTEM
RESEARCH, PRACTICE, & POLICY

UAMS
University of Arkansas for Medical Sciences

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TRAUMA AND PTSD FOUNDATIONS



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DSM-5

WHAT IS TRAUMA?

- Traumatic events ("trauma") are experiences that involve exposure to:
 - Death or threatened death
 - Actual or threatened serious injury
 - Actual or threatened sexual violence
- Exposure includes:
 - Directly experiencing
 - Directly witnessing
 - Learning that a violent or accidental traumatic event happened to a relative or close friend
 - Repeatedly hearing/seeing any of the above events in the course of your job

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WHAT IS TRAUMA?

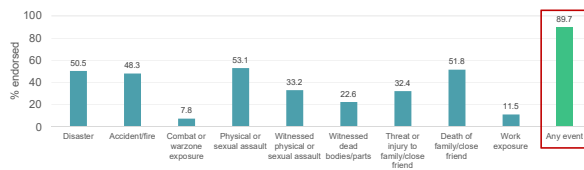
- What types of events do you think might fit this definition?
- Examples:
 - Combat or war zone exposure
 - Rape
 - Homicide or suicide
 - Domestic violence
 - Serious motor vehicle accidents
 - Natural disasters
 - Serious unexpected medical events
 - Arson or house fires
 - Torture
 - Childhood abuse

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Kilpatrick et al., 2013

HOW COMMON IS TRAUMA EXPOSURE?

National Stressful Events Survey



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HOW COMMON IS TRAUMA EXPOSURE?

- Many people have experienced more than one traumatic event
 - Most common number of events in the last study was 3
 - Chronic trauma exposure (repeated events of the same kind) is also common

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QUESTION

Does experiencing a trauma mean that you will have PTSD?

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ISTSS, 2020

WHAT HAPPENS AFTER TRAUMA EXPOSURE?

- After a severe trauma, almost everyone has some symptoms similar to those seen with PTSD (e.g., flashbacks, over arousal, avoidance, depression)

Months Post Trauma	Sexual assault ²	Childhood trauma ³	Non-intentional trauma (e.g., natural disaster) ⁴	Intentional trauma (e.g., physical assault) ⁵
1 month	~80%	~30%	~20%	~15%
6 months	~55%	~25%	~15%	~10%
12 months	~45%	~20%	~15%	~10%

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ISTSS, 2020

WHAT HAPPENS AFTER TRAUMA EXPOSURE?

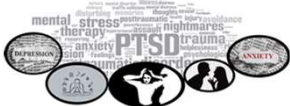
- Most people get better without treatment over the first 3 months
- Some people get a little better in the first month but then stop getting better
 - These people are more likely to develop PTSD
 - We think of PTSD as healing that got interrupted or "stuck"

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1 month	~80%	~30%	~20%	~15%
6 months	~55%	~25%	~15%	~10%
12 months	~45%	~20%	~15%	~10%

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WHAT HAPPENS AFTER TRAUMA EXPOSURE?

- **Non-recovery** – experiencing lasting negative changes following trauma
- **Mental Health Outcomes**
 - Additional health outcomes
 - Physical health issues
 - Problems with intimacy and relatedness



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Zielinski, K23DA048162

WHAT HAPPENS AFTER TRAUMA EXPOSURE?

- **Posttraumatic stress disorder (PTSD)** – a mental health condition that can develop following trauma and includes:

<p>Re-experiencing Symptoms</p> <ul style="list-style-type: none"> flashbacks bad dreams very upset by trauma reminders strong physical reactions to trauma reminders 	<p>Avoidance</p> <ul style="list-style-type: none"> avoid thinking of the trauma avoid talking of the trauma avoidance abuse avoiding places avoiding activities 	<p>Unable to Relax Your Body and Mind</p> <ul style="list-style-type: none"> always on guard cannot concentrate aggressive behavior or rage easily startled trouble sleeping 	<p>Changes in Thoughts and Feelings</p> <ul style="list-style-type: none"> negative mood negative thinking feeling shame or guilt hard to feel happy feeling distant from others
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IMPACT OF TRAUMA AND RELATION TO PTSD

- The majority of trauma survivors recover with time
- PTSD is a pathway involving non-recovery
- If PTSD does not remit within a year, it is likely to last a lifetime unless treated
- PTSD is a highly distressing and debilitating disorder
 - High psychiatric and medical comorbidity
 - High unemployment
 - High suicidality

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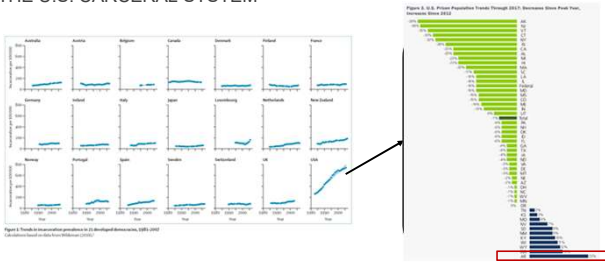
PTSD AND INCARCERATION



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Wildeman & Wang, 2017

THE U.S. CARCERAL SYSTEM



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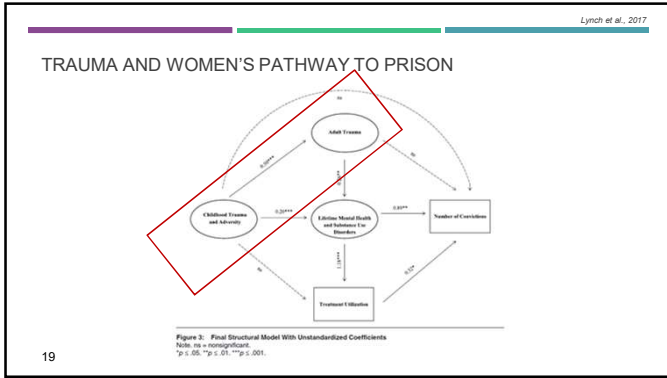
Fazel et al. 2017; Hasin et al., 2018; James & Glaze, 2006; Karlsson & Zielinski, 2020; Off, 2017

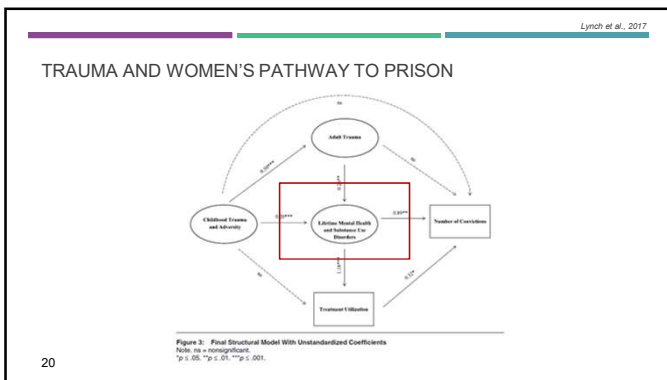
BEHAVIORAL HEALTH AND INCARCERATION

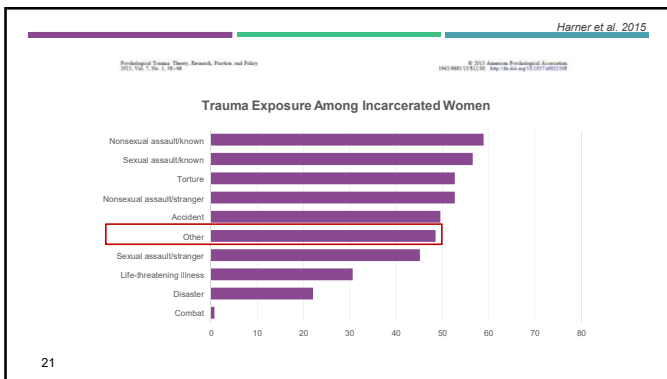
- Most people in prison have at least one current mental illness
 - Over 50% have alcohol or drug use disorder
 - Over 50% have a mental illness unrelated to drugs and/or alcohol
 - Few receive treatment (~15% in some studies)

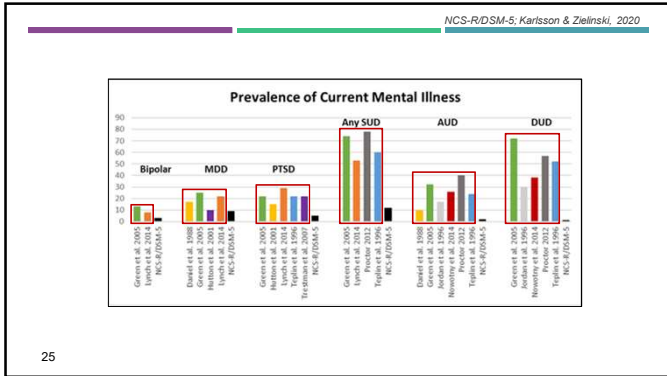
- Trauma-related mental illness unrelated to drugs and/or alcohol
 - 90-100% of people in prison have experienced at least one traumatic event
 - 15-29% of women and 4-32% of men have posttraumatic stress disorder
 - 10-25% of women and 10% of men have major depressive disorder

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Wolff et al. 2014

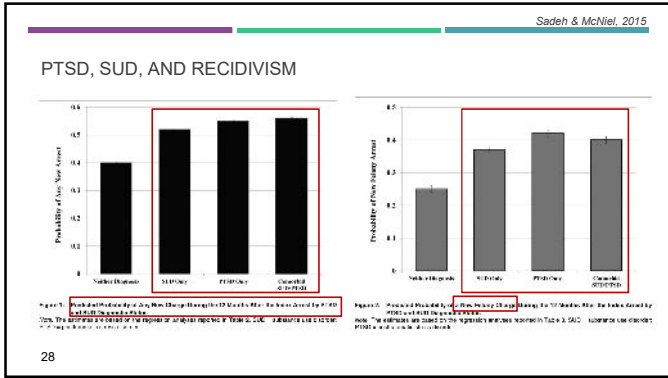
TRAUMA AMONG INCARCERATED MEN

Traumatic events	Total screening sample ^a N=592	Traumatic events	Total screening sample ^a N=592	Traumatic events	Total screening sample ^a N=592
Any trauma: violent injury, shooting event	59.5	Other injury or shocking event, any percentage	58.5	Trauma experienced prior to age 18	79.8
Birth trauma: any percentage	55.8	Serious injury, illness, or death close to you	59.8	Any childhood trauma, %	79.8
Parent being killed or seriously injured	52.8	Seen someone seriously injured or killed	57.6	Specific types of childhood trauma, %	
Interpreted to mob or actually mistreated	70.4	Beat someone or life threatening	69.5	Hit with object that left welts or caused bleeding	51.1
Mistaken for force or threat of force	68.5	Witnessed	68.3	Beaten up	43.8
Mistaken with weapon	66.2	Seen death bodies (other than at funeral)	62.6	Threatened or harmed with knife or gun	30.8
Serious injury from beating or being mistreated	59.5	Had serious accident	52.6	Abused	29.1
Mistaken without weapon and seriously injured	41.0	Had spouse/partner or child die close family member	39.1	Sexual abuse†	18.2
Had unwanted sexual contact that was against your will	23.3†	Mistaken or killed by driver under the influence	16.4	Choked or attempted to strangle	15.3
Combat exposure while in military	8.7	Experienced man-made disaster	35.2	Burned with hot object or liquid	12.4
		Exposed to dangerous chemicals	29.4	Sexual act	10.8
		Experienced natural disaster	25.3		

Baranyi et al. 2018

PTSD AMONG INCARCERATED MEN (Point prevalence)

Study	Sample Size	Prevalence (%)
Green et al. 2005	1,000	25.0
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NCS-R		



SUMMARY

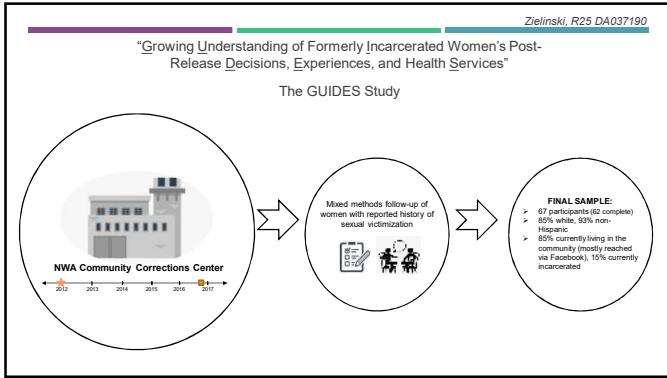
- Trauma is highly prevalent
- So are trauma related disorders
- PTSD/SUD is especially common
- May put incarcerated people at risk for future incarceration
- Situation demands that we provide access to trauma-focused therapy

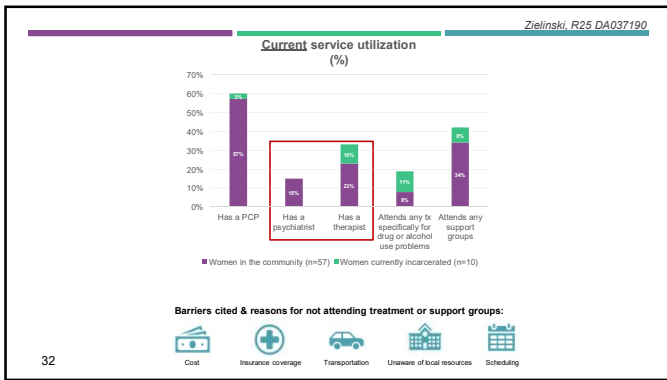
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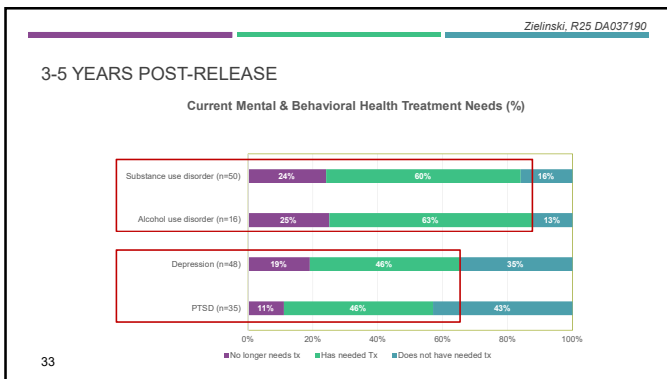
REMAINING QUESTIONS

- What to do
 - What interventions should be offered?
 - When?
 - Wait until post-release?
 - Intervene during incarceration?
- How to get it done

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3-5 YEARS POST-RELEASE

- Do women get trauma therapy?
 - 47% have thought about getting therapy for trauma
 - 25% reported that they have received at least some therapy for trauma

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Should We? → How Do We?

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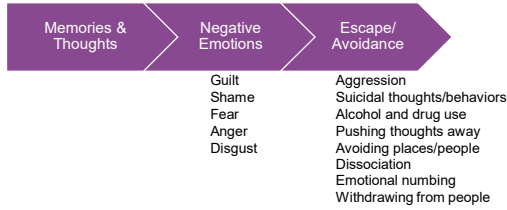
INTERVENTIONS FOR PTSD

EVIDENCE-BASED OPTIONS

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HOW PTSD DEVELOPS

- For those who don't recover from trauma, strong negative emotions lead to **escape and avoidance**



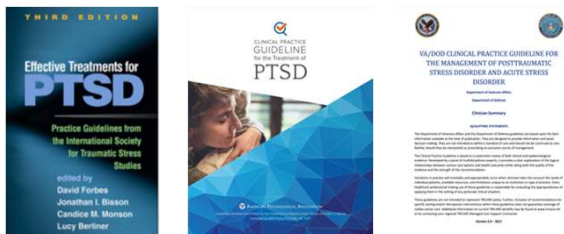
37

AVOIDANCE

- Behaviors that people use to get away from trauma-related feelings, memories, or thoughts
- If you don't feel feelings and think thoughts about the trauma, it's hard to get better
 - If you feel feelings about the trauma, the feelings get weaker
 - If you look at thoughts about the trauma, you can see if they are extreme or balanced

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WE HAVE EFFECTIVE TREATMENTS



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HOW DO YOU TREAT PTSD SYMPTOMS?

- HELP clients
 - Feel their feelings
 - Look at their memories and beliefs about what happened during the trauma
- Many beliefs can be affected by trauma
- We can work on them
- Talking about two evidence-based therapies for PTSD today
 - Cognitive Processing Therapy (CPT)
 - Prolonged Exposure (PE)

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MOST IMPORTANT POINTS

- Recovery happens, without intervention, for many people
- Even if problems emerge or worsen, there are effective treatments
- You can gain the knowledge to help people be effective consumers of those treatments

- Goal = Establishing confidence/competence talking about trauma treatment
 - Even if you never do these treatments you need to be someone who can:
 - Normalize people's experiences and the impact of those experiences
 - How to find a good therapist/therapy for PTSD if warranted



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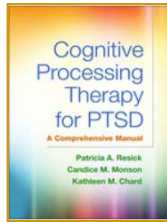
OVERVIEW OF CPT

Individual CPT	Group CPT
<ul style="list-style-type: none"> • 12 sessions, 50 minutes each • 1-2 times per week 	<ul style="list-style-type: none"> • 12 sessions, 90 minutes each • 1-2 times per week • Group size of 8-10
<p>Both include: Practice assignments following each session</p>	

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OVERVIEW OF CPT

- Originally developed for rape survivors (Resick & Schnicke, 1993)
 - Manual updated 2016
- Demonstrated effectiveness across many trauma types including child abuse, combat, IPV, and disasters.
- Effective in low-resource and conflict settings.
- 20 RCTs between 2002-2018



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OVERVIEW OF CPT

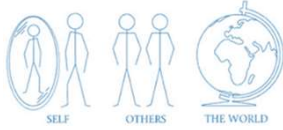
- A trauma-focused, cognitive therapy in which clients learn to identify and modify trauma-related thoughts that are keeping them stuck.
- Time-limited and structured
- Clients are encouraged to process the natural emotions from the trauma, rather than avoid them

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CPT THEORY AND RATIONALE

Social Cognitive Theory

- How one responds to trauma is related to pre-trauma beliefs regarding:



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CPT THEORY & RATIONALE

- Most of us put great weight on our beliefs and thoughts
- We use our beliefs to make sense of the world and our experiences in it
 - Examples:
 - Good things happen to good people
 - Treat people like you want to be treated
 - Everything happens for a reason
- What beliefs were you taught?
- What beliefs do you teach your children?



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CPT THEORY & RATIONALE

- Cognitive therapy involves teaching skills to change extreme thoughts to be more balanced
- Example:
 - Men can never be trusted.
 - Some men cannot be trusted, but some men can be trusted.
- Changing thoughts will:
 - Improve feelings
 - Lead to more positive behaviors



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CPT KEY INGREDIENTS (STRATEGIES)

Socratic Questioning

- Used to transition assimilated and overaccommodated beliefs from the trauma in to more balanced beliefs.

Assimilated	Accommodated	Overaccommodated
<ul style="list-style-type: none"> - I should have prevented it. - It was my fault. - Because I didn't tell sooner, I deserved it. 	<ul style="list-style-type: none"> - I could not have prevented the rape and it was not my fault. That doesn't mean I have no control over my life now. - Sometimes bad things happen to good people. 	<ul style="list-style-type: none"> - Men can't be trusted. - I am powerless. - I am a terrible person.

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CPT SESSION OVERVIEW

- S1 – Psychoeducation and Impact Statement
- S2 – Impact Statement + Teach ABC sheet
- S3 – ABC sheet and Trauma
- S4 – Challenging Questions
- S5 – Patterns of Problematic Thinking
- S6 – Challenging Beliefs
- S7-12 – Continue Challenging Beliefs + Add Themes
 - Safety, Trust, Power & Control, Esteem, Intimacy
- Last session – Impact Statement

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CPT KEY INGREDIENTS (TOOLS)

EXERCISE 10
Practice Assignment after Session 4 of CPT

Date: _____ Client: _____

Below is one of four messages delivered on why you think your most difficult trauma-related thought. This is a message you will be working on in your next session. Write down what you think is wrong about its cause of the event.

Also, consider the other two messages about how the other beliefs about yourself, others and the world are coming across today. How do you feel about them?

Write this assignment with you to the next session. Also please read over the two handouts I have given you on PTSD symptoms and Break Points. Think about how and how you might work on these in your next session.

➔

EXERCISE 11
Challenging Beliefs

Write down the beliefs you are challenging in this session. For each belief, write down the evidence that supports the belief and the evidence that challenges the belief. Write down the new belief you are developing.

Belief: _____

Evidence that supports the belief: _____

Evidence that challenges the belief: _____

New belief: _____

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CPT KEY INGREDIENTS (TOOLS)

Stuck Point Log

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CPT KEY INGREDIENTS (TOOLS)

IMMEDIATE ABC Worksheet

Date: _____ Client: _____

Activating Event A "Something happens"	Belief/Stuck Point B "I feel myself" something"	Consequence C "I feel something"

Are my thoughts above in column B realistic or helpful? _____

What can I tell myself on such occasions in the future? _____

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CPT KEY INGREDIENTS (TOOLS)

PROBLEM SOLVING
Challenges & Solutions Worksheet

Date: _____ Client: _____

Problem: _____

Solution: _____

Outcome: _____

IMMEDIATE CPT
"Advances of Processing of The Stuck Worksheet"

Date: _____ Client: _____

Stuck Point: _____

Thoughts: _____

Beliefs: _____

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POSSIBLE CHALLENGES TO DELIVERY IN CARCERAL SETTINGS

Residential Setting Power and control dynamics Forced programming participation

Competing with release dates Possible re-traumatization

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Zielinski, K23DA048162

Pilot a trauma therapy (CPT) in two community corrections centers

- Test whether it helps residents while they are incarcerated and after they are released
- Measure factors that affect implementation outcomes like how many people receive the therapy
- Pilot a strategy to support staff in delivering CPT with fidelity (meaning "as designed")

PRE-IMPLEMENTATION WORK

- Interviewed staff and residents at each center about anticipated barriers and facilitators to CPT implementation
- Used information to plan implementation and adapt CPT


Potential Facilitators


- Compatibility with facility population, programming already in place, practical resources, with culture/work flow. **How/Setting**
- Practical resources available, time for residents to participate and complete homework. 
- Computerized record keeping.

- Residents respond highly to certificates and need for trauma treatment. **Over/Setting**
- Residents have time to participate and do the homework. 

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Potential Facilitators

- Staff believes that trauma focused therapy is needed and valuable. **Characteristics of Individuals**
- CPT is perceived as advantageous by staff and residents when compared to other program options. 

- CPT is trauma-focused, which was perceived as advantageous compared to other programs (both by residents and staff) **Interaction Characteristics** 

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Potential Barriers

- Track classes and intakes are priority **How/Setting**
- High burden of classwork 
- Power and control, counter-therapeutic strict rules
- Capacity of counselors, high turnover
- Distractions in physical space (Count)

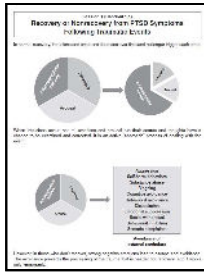
- Inability to read or write, embarrassment, uncomfortable sharing, fear of being judged **Over/Setting**
- Residents not taking treatment seriously and lack of willingness to share
- Programming burden, residents are over-scheduled and sleep deprived
- State policy/legislation emphasizes hours requirement
- Unpredictability of release dates
- ADC merger
- Lack on incentives for specialized programs

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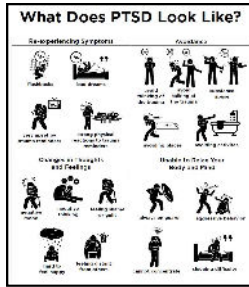
"PROMOTE ADAPTABILITY" IN PRACTICE

- Shifts in materials with literacy in mind

Before



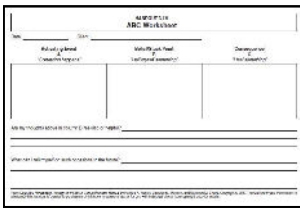
After



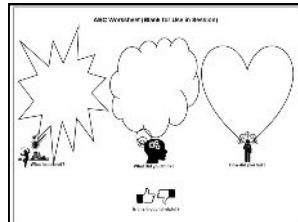
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"PROMOTE ADAPTABILITY" IN PRACTICE

Before



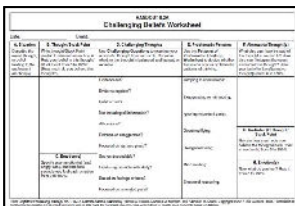
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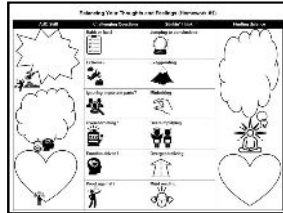
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"PROMOTE ADAPTABILITY" IN PRACTICE

Before



After



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OVERVIEW OF PE

- A trauma-focused behavioral therapy in which clients learn to gradually approach safe, trauma-related stimuli (memories + trauma reminders)
 - Approach (exposure) teaches clients that their fears do not occur and that they can tolerate associated distress, lessening/eliminating fear/symptoms
- Time-limited and structured
 - Generally 8-15 sessions that are 90 minutes each
- Clients are encouraged to feel the emotions that arise from memories and reminders of the trauma, rather than avoid them

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OVERVIEW OF PE

- Primary target is **fear** reduction
- Historical "roots"
 - Definition of trauma as inducing fear, helplessness, and/or horror
 - PTSD as an anxiety disorder

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PE THEORY & RATIONALE

Emotional Processing Theory (Lang, 1977)

- Brain encodes a "fear structure" that includes information about:
 - Stimuli present before, during, and after a trauma
 - Associated internal and behavioral responses (e.g., emotions felt, sensations experienced, actions taken)
 - Meanings associated with the stimuli and responses
 - Associations are not necessarily realistic
- Example of tiger walking in to the room and attacking me
 - Tiger in the zoo vs. the above



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PE THEORY & RATIONALE

- Main factors that prolong post-trauma problems:
 1. Avoidance of trauma-related situations
 2. Avoidance of trauma-related thoughts and images
 3. Problematic automatic thoughts (e.g., I can't handle this; The world is very dangerous)
- Avoidance strategies prevent clients from processing the trauma and from changing their thoughts about the trauma
 - Pathway to healing is approaching #1 and #2

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PE KEY INGREDIENTS (STRATEGIES)

Imaginal exposure

- Repeatedly imagining (and verbalizing/listening to) the traumatic event, in detail
- Add more and more detail over time
 - Hot spots in the memory
 - Under-engagement is much more common than over-engagement
- Underlying premise = while the memory is painful, it is a *memory*—it is not dangerous and the person can learn to handle it/will not lose control or lose their sanity.
 - Technique promotes a sense of competence and mastery

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PE KEY INGREDIENTS (STRATEGIES)

In vivo exposure

- Repeatedly approaching safe, trauma-related situations that have been avoided since the trauma
- Plan is guided by a "fear hierarchy"
 - Extensive list of avoided situations and associated distress ratings called "SUDS" (subjective units of distress)
- Over time and with repeated exposure clients realize that these situations are not as dangerous as feared and SUDS lessen

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PE KEY INGREDIENTS (PROCESS)

- Psychoeducation & Breathing Retraining
- Imaginal and in vivo exposure to activate memory/fear structure and allow for modification

- Cognitions change through exposure alone
 - No direct focus on changing thoughts

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PE SESSION OVERVIEW (BASED ON 10-SESSION EXAMPLE)

- S1 – Assessment, treatment overview, psychoeducation, breathing retraining
- S2 – Fear hierarchy construction (in vivo exposure continues throughout)
- S3-5 – Imaginal exposure
- S6-9 – Imaginal exposure with focus on "hot spots"
- S10 – Final imaginal exposure, wrap-up

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POSSIBLE CHALLENGES TO DELIVERY IN CARCERAL SETTINGS

Residential Setting Power and control dynamics Forced programming participation

Competing with release dates Possible re-traumatization

Can't do in vivo exposure

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“SHARE” GROUP THERAPY
 “SHARE” = SURVIVORS HEALING FROM ABUSE: RECOVERY THROUGH EXPOSURE

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SHARE

BRIEF REPORT
Sexual Violence Therapy Group in a Women’s Correctional Facility: A Preliminary Evaluation

Marie E. Karlsson, Ana E. Bridges, Jessica Beck, and Patricia Renshaw
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Dr. Ana Bridges
 University of Arkansas



Dr. Marie Karlsson
 Helsingborg, Sweden

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SHARE GROUP THERAPY

- Survivors Healing from Abuse: Recovery through Exposure (SHARE) is an 8-session group therapy for incarcerated women who have:
 - Survived sexual violence
 - Who identify as continuing to have problems as a result of those experiences
- Exposure-based
 - Imaginal exposure comprises the bulk of the time in the therapy

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WHAT IS SHARE?

Session #	Agenda
1	<ul style="list-style-type: none"> Group norms, confidentiality Psychoeducation
2	<ul style="list-style-type: none"> Treatment rationale Metaphors, Cycle of anxiety Coping skills
3-7	<ul style="list-style-type: none"> Imaginal exposure + group feedback <ul style="list-style-type: none"> Gently challenge cognitions while "hot," as relevant (if time) Discuss trauma themes
8	<ul style="list-style-type: none"> Consolidate gains (lessons learned, progress) Directions for continued healing



Evidence-Based Components:

- Psychoeducation
- Coping skills training
- Imaginal exposure
- Challenging trauma cognitions

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WHAT IS IMAGINAL EXPOSURE IN SHARE?

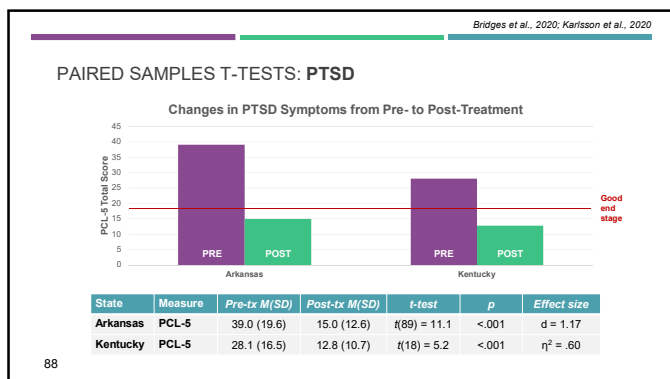
- In SHARE, the group leaders help group members to approach their most distressing memory of experiencing sexual violence and retell it in detail, while recalling it vividly.
- Why do this?

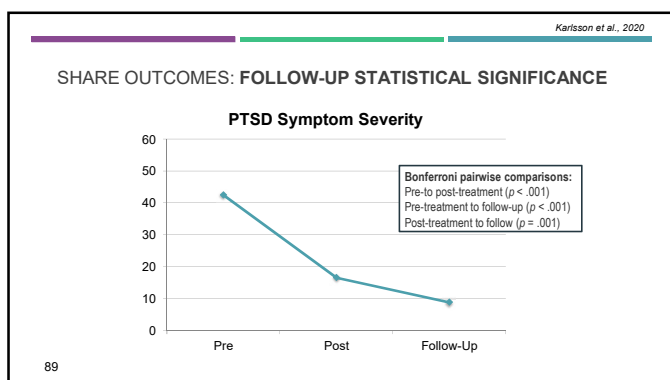
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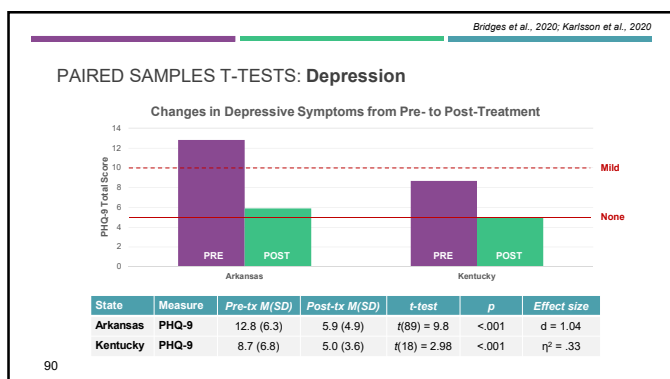
SHARE DEVELOPMENT AND IMPLEMENTATION IN ARKANSAS

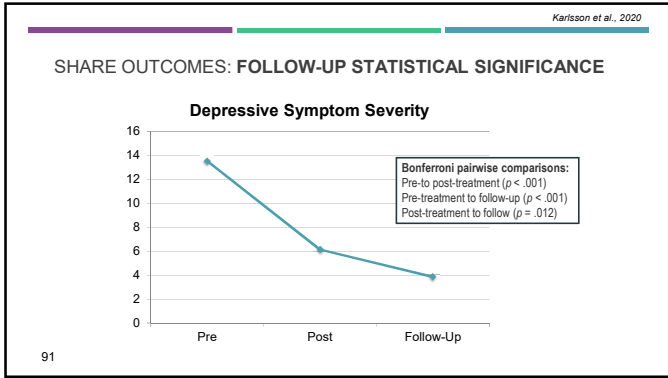
- Survivors Healing from Abuse: Recovery through Exposure (SHARE)
 - Exposure-based group therapy for incarcerated women survivors of sexual violence
 - January 2012 – Present at Northwest Arkansas Community Correction Center
 - Over 50 groups, 350+ treatment completers
- Several open-label pilots of effectiveness
- Studied implementation and long-term influences on sustainability

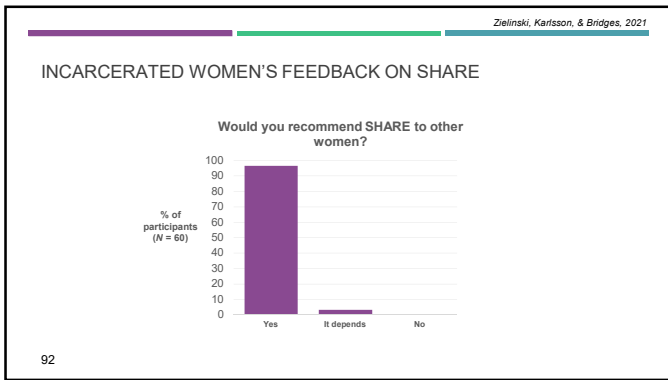
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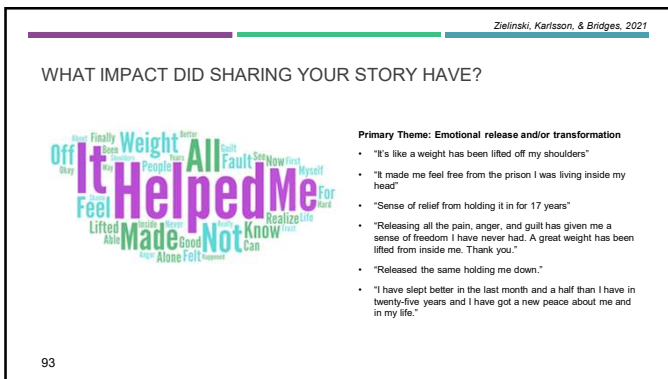












Zielinski, Karlsson, & Bridges, 2021

WHAT IMPACT DID LISTENING TO OTHERS' STORIES HAVE?



Primary Theme: Realized I'm not alone

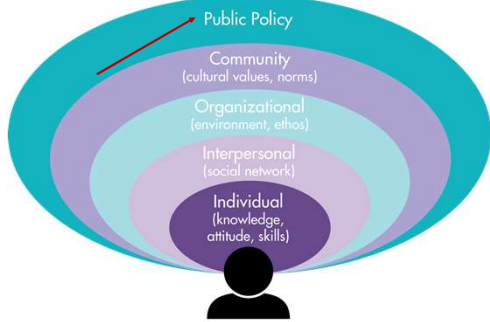
- "That they hurt just like me. That I'm not alone."
- "Huge. Hearing other women feeling the same."
- "To know I'm not alone."
- "Realizing I'm not alone and that there are others out there who have been through similar traumatic events and that people are willing to help."
- "It helped me see that I'm not alone and I have a right to my feelings."
- "That it's okay to feel the way I do. I'm not alone, my story matters."

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CLOSING THOUGHTS & NOTES...



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ARTICLE IN PRESS

Journal of Substance Abuse Treatment

Journal of Substance Abuse Treatment

COVID-19 highlights the pitfalls of reliance on the criminal system as a response to addiction

Helson J. Zlotnick^{1,2,3}, Jennifer G. Hinton^{1,2}, Cleway E. Hall¹

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ABSTRACT

OBJECTIVE

COVID-19 has highlighted the pitfalls of reliance on the criminal system as a response to addiction. This article discusses the challenges of the criminal system and the need for a more comprehensive approach to addiction treatment and recovery. The authors argue that the criminal system is not the best response to addiction and that a more comprehensive approach is needed. This approach should include a focus on prevention, early intervention, and treatment. The authors also discuss the need for a more coordinated and integrated approach to addiction treatment and recovery. This approach should involve a range of stakeholders, including law enforcement, the judiciary, and the community. The authors conclude that a more comprehensive approach to addiction treatment and recovery is needed to address the challenges of the criminal system and to improve outcomes for individuals with addiction.

GOAL OUTCOMES

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- Easier to get an education
- Get & keep a good job
- Get & keep a place to stay
- Provide for your family
- Think clearly & focus
- Healthy relationships
- Stay sober & find things you like
- Feel Free!
- Follow your dreams!

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 - Arkansas Community Correction

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